

10/554190

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Practitioner's Docket No. 2003DE418

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Uwe FALK et al.

Examiner:

Application No.: n/a

Group No.:

Filed: 10/21/2005

For:

USE OF POLYSILAZANE FOR THE PRODUCTION OF
HYDROPHOBICALLY AND OLEOPHOBICALLY MODIFIED SURFACES

Commissioner for Patent
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

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Applicant: Uwe FALK et al.
Serial No. n/a
Filed 10/21/2005
Page 2

Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
 - 1a. ☒ Form PTO-1449 (PTO/SB/08a and/or PTO/SB/08b).
 - 1b. ☒ Copies of Information Disclosure Statement citations.
 - 1c. ☒ PCT or EP Search Report .PCT Search PCT/EP2004/003754, dated 06/29/2004
 - 1d. ☐ Copies of Co-pending U.S. Applications.
2. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.
7. ☐ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8. ☐ In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s) :

Respectfully submitted,


Richard P. Silverman, Registration No. 36,277

CUSTOMER NO. 25,255

Clariant Corporation, Industrial Property Department, 4000 Monroe Road, Charlotte, North Carolina 28205
Telephone: 704/331-7156, Facsimile: 704/331-7707

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	
Filing Date	10/21/2005
First Named Inventor	Uwe FALK
Art Unit	
Examiner Name	
Attorney Docket Number	2003DE418

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US- 5,849,942	12/15/1998	STANDKE	
	AB	US- 5,863,509	01/26/1999	STANDKE	
	AC	US- 6,228,936	05/08/2001	STANDKE	
	AD	US- 5,885,654	03/23/1999	HAGIWARA	
	AE	US- 5,997,943	12/07/1999	AZZOPARDI	
	AF	US- 6,177,582	01/23/2001	JENKNER	
	AG	US- 6,713,186	03/20/2004	JENKNER	
	AH	US- 2,003,164,113	09/04/2003	SUZUKI	
	AI	US- 5,800,918	09/01/1998	CHARTIER	
	AJ	US-			
	AK	US-			
	AL	US-			
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	AO	US-			
	AP	US-			
	AQ	US-			
	AR	US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	BA	CA 2415288	11/07/2002	CLARIANT INT'L		<input type="checkbox"/>
	BB	EP0825231	02/25/1998	TOKYO OHKA KOGYO	SEE AD ABOVE	<input type="checkbox"/>
	BC	EP1398359	03/17/2004	CLARIANT INT'L	SEE AH ABOVE	<input type="checkbox"/>
	BD	WO 2004039904	05/13/2004	CLARIANT INT'L		<input type="checkbox"/>
	BE					<input type="checkbox"/>

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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